Mizuho Bank Ltd.		MI
CI	RS Self-Certification	(For Entities) Page 1
confirm customers' jurisdictions of residenc "Privacy Policy Regarding Protection of Cus Institution, holding a Financial Account for	ce for tax purposes and tax identification numbers. W stomer Personal Information", we implement strict co the benefit or account of another person as agent, c	cal Tax Act Incidental to Enforcement of Tax Treaties," financial institutions are obliged to Ve sincerely ask for your understanding and cooperation. In accordance with our bank's ontrols on information entrusted from customers. A person other than a Financial sustodian, nominee, signatory, investment advisor, or intermediary, is not treated as treated as holding the account who shall submit this certification.
Please fill in and check (✓)	the appropriate box(es)	
A. Certification Type Select and check one box	1. New 2. Optional	3. New&Optional 4. Update
B. Legal Name If you are an Estate Entity, please provide tax residence and other relevant information of its decedent in page 2 of		-
C – 1. Address		
C – 2. Country	Japan Other ()
D. Entity Classification Please check (✓) all applicable boxes. **please complete the confirmation of controlling person(s) below if you	listed entity), government, local of government, foreign Central Ban institution (including investment countries concluded tax treaty with a local per succession of the second s	sidiaries whose voting rights are 50% or more owned by a government, Bank of Japan, foreign government, foreign local ik, international organization, domestic or foreign financial entity established in Reportable Jurisdictions or specified ith Japan), entities equivalent to foreign government soon not listed above → fill in 3 below.
of controlling person(s) below if you are Passive NFEs.	[Name or Legal Name	PARTNERSHIP, and TRUST ONLY , provide below Specified Partners, etc]
	②Our company has (a) controlling p	person(s) (individuals only, president only) For ②·③, please move on to page and fill in.
Please fill in only when submitting an "2.Optional", "3. New & Optional" or Update"	(Right-alligned) F - 1 . All of Tax Residences of the submitted contification.	on and the state of the state o
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G. Jurisdiction(s) of residence for tax	G – 1. Jurisdiction of residence	G = 2. Taxpayer Identification Number (TIN) (If unable to provide TIN, please specify the reason)
purposes and TIN for each residence Please fill in all applicable countries and TIN(s). Please check () all		If the jurisdiction of residence of the controlling person is a foreign country (D.3.③above), please fill in the entity's TIN(13 digits)
applicable □ boxes. If you have no tax residence, please fill in jurisdiction in which place of	United States	*If unlisted entity, please also submit Mizuno substitution form W-9
effective management is situated.		() Not Provided
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J. Other referential information		
Please complete this f	form by signing on NEXT P	AGE 2.

Mizuho Bank Lt	zd.	
	CRS Self-Certification 【For Entities】 Page 2	
Furthermore, in case	of any change affecting the information shown on this form, I agree to resubmit the form within 3 months. O	

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CRS S	Self-	Certificat	ion	[For E	Intities	s] Pa	ige 3		
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C – 2. Country		Japan 🗌	Other	()
D. Date of Birth (YYYY/M/D)			/		/				
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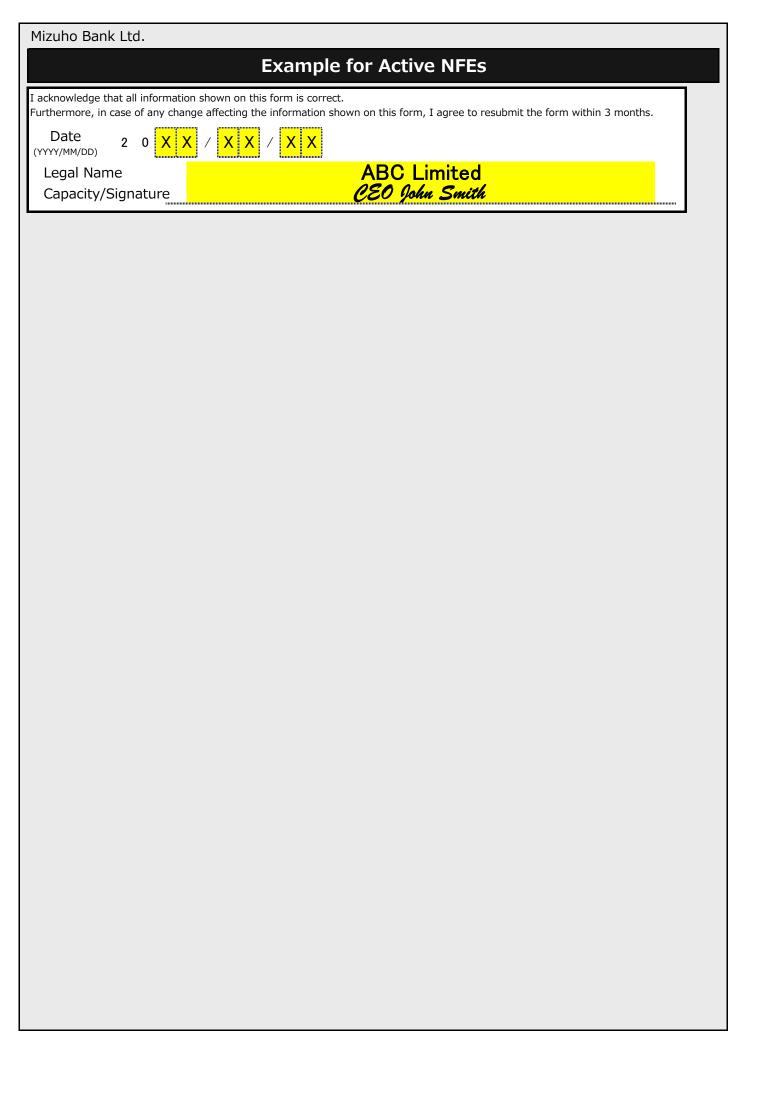
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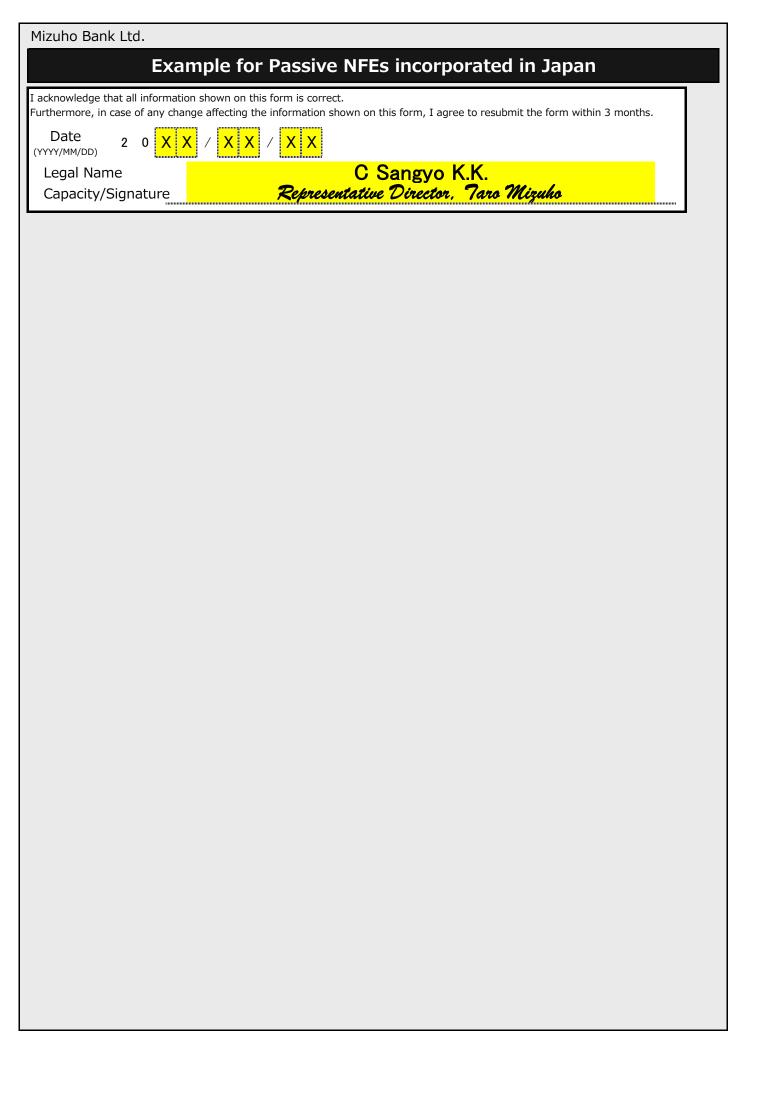
INSTRUCTION for CRS Self-Certification [For Entities]

- 1. If you are a person other than a Financial Institution (including a foreign corporation similar to Financial Institution), holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account for purposes of the CRS, and such other person is treated as holding the account who shall submit this certification.
- 2. The term "Entity" means any of the following: corporation (including association or foundation without juridical personality), partnership, other entity equivalent to partnership, and trust.
- 3. Please check () in the "1. Listed Entity, etc." in D. Entity Classification if you fall into one of the listed below that are entities not required to be reported to competent authority.
 - (1) Listed company
 - (2) Subsidiaries of Listed company
 - (3) Government, Local government, Bank of Japan, Foreign government, Foreign local government, Central bank, Japan-joined international organization
 - (4) Domestic reporting financial institutions
 - (5) Foreign reporting financial institutions (including investment entity established in Reportable Jurisdiction or specified countries concluding tax treaty with Japan)
- 4. Please check (√) in the "3. Passive NFEs" in D. Entity Classification if you fall into Passive NFE (Non-Financial Entity) under CRS regulation of Japan ("Act on Special Provisions of the Income Tax Act, Corporation Tax Act and Local Tax Act Incidental to Enforcement of Tax Treaties"). NFE whose passive income is less than 50% of the entity's gross income and whose assets that produce or are held for the production of passive income is less than 50% of the entity's assets, for the preceding fiscal year, is not Passive NFE. NFE (including investment entity established in Reportable Jurisdiction or specified countries concluding tax treaty with Japan) that is initially incorporated within 24 month and not yet operating a business is not Passive NFE.
- 5. The term "Controlling person" means persons who hold voting power/right of representation that are filled in Application for Transaction (limited to natural persons)
 - a. For entity governed by rule of voting such as stock corporation, private limited company, investment corporation, special-purpose company: PERSONS holding directly or indirectly over 25% of voting power
 - b. For entity other than one listed in preceding item a.: PERSONS who receive dividends of over 25% of the business income or business properties of the entity
 - c. PERSONS recognized to have controlling influence over business activities through investments, loans, transactions, or other relationship
 - d. PERSONS who represents the entity and who executes its business
- 6. If you fall into a partnership contract, an entity equivalent to the partnership contract, or foreign trust (hereinafter referred to as an "partnership, etc."), please fill in the legal name of the partnership, etc. (e.g., name of contract/deed/declaration, fund name, etc.) in "B. Legal Name" column, the location of the head office or place of management of the partnership, etc. in "C-1. Address" column, and jurisdiction of residence for the partnership, etc. in "G. Jurisdiction of residence for tax purposes and TIN for each foreign residence" column. (If you fall into a Japan domestic trust, go to 7. below.)
- 7. If you fall into a **Specified Trustee** (a trustee of trust that is established under Trust Act of Japan, i.e., a Japan domestic trust), please fill in the legal name of the **Specified Trustee** in "B. Legal Name" column, the location of the domicile or the head office or place of management of the **Specified Trustee** in "C-1. Address" column, and jurisdiction of residence for the **Specified Trustee** in "G. Jurisdiction of residence for tax purposes and TIN for each foreign residence" column. In addition, please check (✓) in the "If you are Specified Trustee, please check (✓) here." box in D.2.
- 8. The term "Specified Partners, etc." means any (legal) person listed in each of the following items for the category of partnership contract, entity equivalent to the partnership contract, or trust listed in the relevant item.
 - (i) A partnership established by a partnership contract prescribed in Article 667 (1) of Civil Code of Japan (Act No. 89 of 1896) (including a contract specified by a Cabinet Order as being similar thereto) or a silent partnership agreement (silent partnership agreement and any other agreement specified by a Cabinet Order as being similar thereto)
 - ⇒ Operating partner who manages Specified Transaction as a business of the partnership
 - (ii) An entity equivalent to the partnership contract listed in (i)
 - ⇒ Operating officer equivalent to operating partner of the partnership contract who manages Specified Transaction as a business of the entity
 - (iii) A trust ⇒ Trustee of the trust who manages Specified Transaction as a business of the trust
- 9. If you fall into a foreign entity (excluding trust and Estate Entity as defined in 10.) and have no residence for tax purposes, please fill in the jurisdiction in which your place of effective management is situated in "G. Jurisdiction of residence for tax purposes and TIN for each foreign residence" column. The term "place of effective management" means the place where key management and commercial decisions that are necessary for the conduct of the entity's business as a whole are in substance made.
- 10. The term "Estate Entity" means an estate of a decedent that is regarded as an entity under governing law of the estate.
- 11. If you fall into an Estate Entity, please fill in, in addition to requirements prescribed above, the **decedent**'s name, home address at the time of death, date of birth, jurisdiction of residence for tax purposes and TIN for each foreign residence (if any) in Page 3 of this Self-Certification form. In this case, if you fall into both an Estate Entity and a Passive NFE that has controlling persons, please check (✓) in the "**Decedent of the Estate Entity**" box for clarification.

Mizuho Bank Ltd.											MB
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Please fill in and check ()) the ap	propriate	e box(es)								
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Select and check one box B. Legal Name **If you are an Estate Entity, please provide tax residence and other relevant			ABC	Lir	nited						
information of its decedent in page 2 of $C-1$. Address		12	23 ABC Str	eet	London	LIK					1
C – 2. Country		apan	✓ Other (JK	i, OIX)	
D. Entity Classification Please check () all applicable boxes. **please complete the confirmation of controlling person(s) below if you are Passive NFEs.	9 in Co	sted entity overnmen istitution (countries of Investmen	entity (including sury), government, local, foreign Central B (including investment) oncluded tax treaty that is a legal part of the entity that is a non-lear than 1, other than 1, other than 1, other entity similar Legal Name Specified Trustee, pleasive NFES (For unlisted to company has no company has no company has (a) controlling the entity (including the entity) of the entity of the entity (including the entity) of the entity (including the entit	al gov ank, i t ent with oerson egal pe ab TO PA asse che asse che asse ger and asse ger asse ger and asse ger	vernment, Bank nternational or ity established Japan), entities not listed above erson not listed al OVE OR 3. L RETNERSHIP, and Til eck () here. ies, more than 50% ng persons (incom(s) (individuals	k of Japan ganizatior in Report s equivale → fill in 3 bove → fill DeloW RUST ONLY, 6 of the precedividuals of	, foreign g n, domesti able Juriso nt to forei below. in 2 below. provide below ding fiscal ye only)	overnm c or for dictions gn gove	nent, for eign fina or speci ernment d Partner income is	eign loca ancial fied s, etc passive passive n to pag	
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Mizuho Bank Ltd.	
	CRS Self-Certification [For Entities]
Example	for Passive NFEs incorporated in Japan
Please fill in and check (✓)) the appropriate box(es)
A. Certification Type Select and check one box	☐ 1. New
B. Legal Name Wiff you are an Estate Entity, please provide tax residence and other relevant information of its decedent in page 2 of	C Sangyo K.K.
C – 1. Address	1-2-3 Minami Aoyama, Minato-ku, Tokyo, Japan
C – 2. Country	☑ Japan ☐ Other(
D. Entity Classification Please check (✓) all applicable boxes. **please complete the confirmation of controlling person(s) below if you	1. Listed entity (including subsidiaries whose voting rights are 50% or more owned by a listed entity), government, local government, Bank of Japan, foreign government, foreign local government, foreign Central Bank, international organization, domestic or foreign financial institution (including investment entity established in Reportable Jurisdictions or specified countries concluded tax treaty with Japan), entities equivalent to foreign government * Investment entity that is a legal person not listed above → fill in 3 below. Investment entity that is a non-legal person not listed above → fill in 2 below.
are Passive NFEs.	2. Entity other than 1. above or 3. below PARTNERSHIP, OTHER ENTITY SIMILAR TO PARTNERSHIP, and TRUST ONLY, provide below Specified Partners, etc [Name or Legal Name
	3. Passive NFEs (For unlisted entities, more than 50% of the preceding fiscal year's gross income is passive ①Our company has no controlling persons (individuals only) For ②·③, please ②Our company has (a) controlling person(s) (individuals Japanese resident only) move on to page ③Our company has (a) controlling person(s) (individuals only, foreign resident) 3 and fill in.
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applicable \square boxes. If you have no tax residence, please fill in jurisdiction in which place of effective management is situated.	United States **If unlisted entity, please also submit Mizuno substitution form W-9 () () Not Provided
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	you may leave this column blank.
J. Other referential information	forms by signification ANEVT DAGE 2
Please complete this 1	form by signing on NEXT PAGE 2.



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Mizuho Bank Ltd.		ME
	CRS Self-Certificat	tion【For Entities】
Example For	r PASSIVE NFEs	incorporated outside Japan
Please fill in and check (✓)) the appropriate box(es)	
A. Certification Type	1. New 2. Optional	3. New&Optional 4. Update
Select and check one box B. Legal Name **If you are an Estate Entity, please provide tax residence and other relevant information of its decedent in page 2 of	ABC Fund	
C – 1. Address	123 ABC Street, Lond	lon, UK
C – 2. Country	☐ Japan ☐ Other (UK)
D. Entity Classification Please check (✓) all applicable boxes. **please complete the confirmation	listed entity), government, loca government, foreign Central Ba institution (including investment countries concluded tax treaty was Investment entity that is a legal pe	posidiaries whose voting rights are 50% or more owned by a l government, Bank of Japan, foreign government, foreign local nk, international organization, domestic or foreign financial to entity established in Reportable Jurisdictions or specified with Japan), entities equivalent to foreign government erson not listed above → fill in 3 below.
of controlling person(s) below if you are Passive NFEs.	2. Entity other than 1.	above or 3. below
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"4. Update"	F – 2 . Entity classification or last submitted certification	
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J . Other referential information		
Please complete this t	form by signing on NEXT F	PAGE 2

Mizuho Bank Ltd.		
Example For PASSIN	VE NFEs incorporated outside Japan	
I acknowledge that all information shown on this form is Furthermore, in case of any change affecting the inform	s correct. nation shown on this form, I agree to resubmit the form within 3 months.	
Date 2 0 XX/X/XX/X		
Legal Name Capacity/Signature	ABC Fund CEO, John Smith	

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