Composition of Capital Disclosure

Mizuho Bank [Consolidated] As of September 30, 2019

(in million yen, except percentage)

6 Common Equity Tier 1 capital: instruments and reserves (A) 7,806,107 7,724, Common Equity Tier 1 capital: regulatory adjustments (2) 8+9 Total intangible assets (net of related tax liability, excluding those relating to mortgage servicing rights) 8 of which: goodwill (net of related tax liability, including those equivalent) 11,731 12, of which: other intangibles other than goodwill and mortgage servicing rights (net of related tax liability) 10 Deferred tax assets that rely on future profitability excluding those arising from temporary differences (net of related tax liability) 11 Deferred gains or losses on derivatives under hedge accounting 54,917 36, 12 Shortfall of eligible provisions to expected losses 123,560 103, 13 Securitization gain on sale 17 14 Gains and losses due to changes in own credit risk on fair valued liabilities 11,590 12, 15 Net defined benefit asset 577,402 575, 16 Investments in own shares (excluding those reported in the net assets section) - Reciprocal cross-holdings in common equity - Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share capital (amount above the 10% threshold) 19+20+21 Amount exceeding the 10% threshold on specified items of which: isofficant investments in the common stock of financials of which: mortgage servicing rights of which: mortgage servicing rights - of which:	Template CC2 43 43 555 888 004 (a) 588 066 636 550
Basel II Template No. Items	Reference to Template CC2 43 555 88 04 (a) 58 06 36 50 885
Common Equity Tier 1 capital: instruments and reserves (1) 1a+2-1c-26 Directly issued qualifying common share capital plus related stock surplus and retained earnings of which: capital and stock surplus of which: retained earnings 2,913,324 2,798, 1c of which: retained earnings of which: retained earnings 2,913,324 2,798, 1c of which: retained earnings of which: retained earnings of which: retained earnings of which: retained earnings 1,913,324 2,798, 1c of which: retained earnings of which: other than above common share capital issued by subsidiaries and held by third parties (amount allowed in group CET1) common Equity Tier 1 capital: instruments and reserves (A) Common Equity Tier 1 capital: instruments and reserves (A) Common Equity Tier 1 capital: regulatory adjustments (2) 8+9 Total intangible assets (net of related tax liability, excluding those relating to mortgage servicing rights) 8 of which: other intangibles other than goodwill and mortgage servicing rights (net of related tax liability) of which: other intangibles other than goodwill and mortgage servicing rights (net of related tax liability) poferred tax assets that rely on future profitability excluding those equivalent) 11.731 12. Shortfall of eligible provisions to expected losses 123,560 133 Securitization gain on sale 14 Gains and losses due to changes in own credit risk on fair valued liabilities 11.590 12. Net defined benefit asset Investments in own shares (sculding those reported in the net assets section) Reciprocal cross-holdings in common equity Investments in own shares (sculding those reported in the net assets section) Reciprocal cross-holdings in common equity Investments in the capital of hanking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions,	43 555 888 - - - - - - - - - - - - -
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1a	555 888 - - - - - - - - - - - - -
of which: retained earnings 1	888
of which: treasury stock (-) of which: national specific regulatory adjustments (earnings to be distributed) (-) of which: other than above 1b Subscription rights to common shares 3 Accumulated other comprehensive income and other disclosed reserves 1,276,653 1,310, Common Equity Tier I capital: instruments and reserves (A) Common Equity Tier I capital: instruments and reserves (A) 7,806,107 7,724, Common Equity Tier I capital: regulatory adjustments (2) 8+9 Irotal intangible assets (net of related tax liability, excluding those relating to mortgage servicing rights) of which: goodwill (net of related tax liability, including those equivalent) 11,731 12, of which: other intangibles other than goodwill and mortgage servicing rights (net of related tax liability) Deferred tax assets that rely on future profitability excluding those arising from temporary differences (net of related tax liability) 11 Deferred gains or losses on derivatives under hedge accounting 12 Shortfall of eligible provisions to expected losses 123,560 133 Securitization gain on sale 14 Gains and losses due to changes in own credit risk on fair valued liabilities 15 Net defined benefit asset 17,402 17 Reciprocal cross-holdings in common equity Investments in own shares (excluding those reported in the net assets section) 18 Reciprocal cross-holdings in common equity Investments in own shares (excluding those reported in the net assets section) 19 Amount exceeding the 10% threshold on specified items of which: mortgage servicing rights of which: significant investments in the common stock of financials of which: mortgage servicing rights of which: significant investments in the common stock of financials of which: mortgage servicing rights of which: mortg	
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23 of which: significant investments in the common stock of financials - of which: mortgage servicing rights -	-
24 of which: mortgage servicing rights -	-
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25 of which: deferred tax assets arising from temporary differences (net of related tax liability)	-
Regulatory adjustments applied to Common Equity Tier 1 due to insufficient Additional Tier 1 and	
Tier 2 to cover deductions	-
28 Common Equity Tier 1 capital: regulatory adjustments (B) 1,044,702 1,008,	47
Common Equity Tier 1 capital (CET1)	
29 Common Equity Tier 1 capital (CET1) ((A)-(B)) (C) 6,761,405 6,715,	59
Additional Tier 1 capital: instruments (3)	
Directly issued qualifying Additional Tier 1 instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown	-
as equity under applicable accounting standards and the breakdown 31b Subscription rights to Additional Tier 1 instruments	
30 Directly issued qualifying Additional Tier Linstruments plus related stock surplus of which: classified	-
32 32 as liabilities under applicable accounting standards 1,805,000 1,570,)0
Qualifying Additional Tier 1 instruments plus related stock surplus issued by special purpose vehicles	1
and other equivalent entities	-1
Additional Tier 1 instruments issued by subsidiaries and held by third parties (amount allowed in 22,653 21,	92
group AT1)	
Eligible Tier 1 capital instruments subject to phase-out arrangements included in Additional Tier 1	4
capital: instruments 33 of which: directly issued capital instruments subject to phase out from Additional Tier 1 4	4
of which: directly issued capital instruments subject to phase out from Additional Tier 1 of which: instruments issued by subsidiaries subject to phase out of which: instruments issued by subsidiaries subject to phase out	4
36 Additional Tier 1 capital: instruments (D) 1,827,657 1,591,	96
Additional Tier 1 capital: regulatory adjustments	
37 Investments in own Additional Tier 1 instruments	-
38 Reciprocal cross-holdings in Additional Tier 1 instruments -	
Investments in the capital of banking, financial and insurance entities that are outside the scope of	
regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above 10% threshold)	-
Significant investments in the capital of banking financial and insurance entities that are outside the	
40 scope of regulatory consolidation (net of eligible short positions) 56,500 56,	JO
42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions	-
43 Additional Tier 1 capital: regulatory adjustments (E) 56,500 56,	
Additional Tier 1 capital (AT1)	00
44 Additional Tier 1 capital ((D)-(E)) (F) 1,771,157 1,535,	
Tier 1 capital (T1 = CET1 + AT1) 45 Time 1 capital (T1 = CET1 + AT1) ((C) (T) (C) 9 522 562 9 250	
45 Tier 1 capital $(T1 = CET1 + AT1) ((C)+(F)) (G)$ 8,532,563 8,250,	96

(in million ven. except percentage)

aa. a			(in million yen, exc	ept percentage)
CC1:Composit	ion of Capital Disclosure		b	1 .
Basel III Template No.	Items	As of September 30, 2019	As of June 30, 2019	c Reference to Template CC2
Tier 2 capital:	nstruments and provisions (4)			-
Tier 2 capitar.	Directly issued qualifying Tier 2 instruments plus related stock surplus of which: classified as equity			
46	under applicable accounting standards and the breakdown	-	-	
	Subscription rights to Tier 2 instruments	-	-	
	Directly issued qualifying Tier 2 instruments plus related stock surplus of which: classified as	1,212,007	1,243,033	
	liabilities under applicable accounting standards Tier 2 instruments plus related stock surplus issued by special purpose vehicles and other equivalent			
	entities	-	-	
48-49	Tier 2 instruments issued by subsidiaries and held by third parties (amount allowed in group Tier 2)	4,985	4,697	
47+49	Eligible Tier 2 capital instruments subject to phase-out arrangements included in Tier 2: instruments	483,233	483,233	
	and provisions	·	,	
47 49	of which: directly issued capital instruments subject to phase out from Tier 2	483,233	483,233	
50	of which: instruments issued by subsidiaries subject to phase out Total of general allowance for loan losses and eligible provisions included in Tier 2	3,979	3,888	
50a	of which: general allowance for loan losses	3,979	3,888	
50b	of which: eligible provisions	=	-	
51	Tier 2 capital: instruments and provisions (H)	1,704,205	1,734,853	
	egulatory adjustments (5)			
52	Investments in own Tier 2 instruments	-	-	
53	Reciprocal cross-holdings in Tier 2 instruments and other TLAC liabilities	-	-	
54	Investments in the capital and other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does	_	_	
	not own more than 10% of the issued common share capital of the entity (amount above the 10% threshold)			
55	Significant investments in the capital and other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)	94,407	91,327	
57	Tier 2 capital: regulatory adjustments (I)	94,407	91,327	
Tier 2 capital (T2)			
58	Tier 2 capital (T2) ((H)-(I)) (J)	1,609,797	1,643,525	
Total capital (7		10 142 260	0.004.002	
59 Risk weighted	Total capital ($TC = T1 + T2$) ((G) + (J)) (K)	10,142,360	9,894,082	
60	Risk weighted assets (L)	55,484,108	54,966,252	
Capital ratio (c	onsolidated) (7)		- 1,, ,	
61	Common Equity Tier 1 capital ratio (consolidated) ((C)/(L))	12.18%	12.21%	
62	Tier 1 capital ratio (consolidated) ((G)/(L))	15.37%	15.01%	
63	Total capital ratio (consolidated) ((K)/(L))	18.27%	18.00%	
Regulatory adj	ustments (8) Non-significant investments in the capital and other TLAC liabilities of other financials that are below			
72	the thresholds for deduction (before risk weighting)	390,502	391,575	
73	Significant investments in the common stock of financials that are below the thresholds for deduction (before risk weighting)	224,054	219,994	
74	Mortgage servicing rights that are below the thresholds for deduction (before risk weighting)	-	-	
75	Deferred tax assets arising from temporary differences that are below the thresholds for deduction (before risk weighting)	180,352	193,262	
Provisions incl	uded in Tier 2 capital: instruments and provisions (9)			
76	Provisions (general allowance for loan losses)	3,979	3,888	
77	Cap on inclusion of provisions (general allowance for loan losses)	32,078	30,492	
78	Provisions eligible for inclusion in Tier 2 in respect of exposures subject to internal ratings-based approach (prior to application of cap) (if the amount is negative, report as "nil")	-	-	
79	Cap for inclusion of provisions in Tier 2 under internal ratings-based approach	282,017	276,615	
	ents subject to phase-out arrangements (10)			
82	Current cap on AT1 instruments subject to phase-out arrangements	440,638	440,638	
83	Amount excluded from AT1 due to cap (excess over cap after redemptions and maturities) (if the amount is negative, report as "nil")	-	-	
84	Current cap on T2 instruments subject to phase-out arrangements	483,233	483,233	
	Amount excluded from T2 due to cap (excess over cap after redemptions and maturities) (if the			
85	amount is negative, report as "nil")	804	21,010	