Composition of Capital Disclosure

Mizuho Financial Group [Consolidated] As of September 30, 2019

(in million yen, except percentage)

CC: Company power Capital Discharges The Tompine No. Comman Power Text Liquidit Similarinates and successor (1) The Tompine No. Comman Power Text Liquidit Similarinates and successor (1) The Tompine No. The Target Similarinates and successor (1) The Tompine No. The Tomp	cc: c	(in million yen, except percentage)						
Total No.	CC1:C	omposit	ion of Capital Disclosure	9	h			
Common Region Test Cognition (Common Region Test	Rasel II	п		ä	D	C		
Propriete C.C.			Items	-	As of June 30, 2019			
Table Tabl				2019	,	Template CC2		
1	Commo	on Equi	y Tier 1 capital: instruments and reserves (1)					
2 Or which resumes grower () 0,0800 0,433	1a+2-	-1c-26	Directly issued qualifying common share capital plus related stock surplus and retained earnings	7,399,384	7,369,678			
definition of which cannot appear agricultural group and protection of the which cannot appear and protection of the which cannot appear and protection of the protection of the which cannot appear and the protection of the prote	1	a		3,394,318	3,394,318			
20 Of which candomal specific regulatury adjustments (carmings to be disturbured) (.) 9-5,208	- 1	2		4,107,084	3,981,793			
Description regists to common shares 233 230	1	lc	of which: treasury stock (-)	6,809	6,433			
B. Selectipion right to common shares 1.32,572 1.373,414 (a)	2	26	of which: national specific regulatory adjustments (earnings to be distributed) (-)	95,208				
Accommunited of their comprehensive tembers and other disclosed reserves CET1) COmmon Equity Tier Legislat instruments and reserves (A) Common Equity Tier Legislat instruments and reserves (A) Common Equity Tier Legislat instruments and reserves (A) Entry Common Equity Tier Legislat instruments and reserves (A) Entry Common Equity Tier Legislat instruments (B) System Common Equity Tier Legislat instruments (B) System Common Equity Tier Legislat instruments (B) System Common Equity Tier Legislat (regulatory adjustments (B) System Common Equity Common				-				
Common charactery and seaso by subsidiaries and held by third parties (amount allowed in group Common Figure 11 capital insurances and reserves (A) Common Figure 11 capital insurances and reserves (A) Replacement 11 capital regularies (and insurances) Replacement 12 capital regularies (and insurance capital insurances) Replacement 12 capital regularies in the continuous observation of insurances (and insurance capital insurances) Replacement 12 capital regularies (and insurance capital insurances) Replacement 12 capital regularies (and insurance capital insurances) Replacement 12 capital regularies (and insurances) Replacement 12 capital (CERT) (A)-(A)-(C) (C) (C) (C) (C) (C) (C) (C) (C) (C)	1	b	Subscription rights to common shares	213	230			
Common Equity Tier Legislat: insuraments and reserves (A) 8,750,255 8,750,359 Common Equity Tier Legislat: segulatory adjustments (2) 8,750,255 8,750,359 Common Equity Tier Legislat: segulatory adjustments (2) 8,750,255 8,750,359 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,255 8,750,359 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,255 8,750,359 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Total common Equity Tier Legislat: segulatory adjustments (2) 8,750,259 g. p. Segulatory adjustments applied to Common Equity Tier I due to insufficient Additional Tier I and 1,750,750 g. p. Segulatory adjustments (2) 8,750,250 g. p. Segula	1	3	Accumulated other comprehensive income and other disclosed reserves	1,342,532	1,373,414	(a)		
CEITIO Common Equity Tier I capital: instruments and reserves (A) 6 Common Equity Tier I capital: instruments and reserves (A) 7 Common Equity Tier I capital: instruments and reserves (A) 8 19 Tour I capital regulatory adjustments (E) 8 19 Tour I capital regulatory adjustments (E) 9 Use of the capital regulatory adjustments (E) 10 Defended as assets that rely on finding producing those equivalent) 11 Defended as assets that rely on finding producing flowe earling rights (not of related past limiting) 12 Shortfall of eighthe provisions to expected losses. 13 Shortfall of eighthe provisions to expected losses. 13 Securitation again on all or endervatives under bedge accounting 14 Gains and losses due to changes in own credit risk on fair valued liabilities 15 Not official beginning and the common structures and the common research of the common relation of the c		-	Common share capital issued by subsidiaries and held by third parties (amount allowed in group	7.122	7.00/			
Common Equity Terl Legolish (spellarity adjustments (2)	1	5		7,123	7,026			
Four image between the content of the content are liability, excluding those relating to relating the servicing of policy and the content of the content o	(6	Common Equity Tier 1 capital: instruments and reserves (A)	8,749,255	8,750,349			
Section Process Proc	Commo	on Equi	y Tier 1 capital: regulatory adjustments (2)					
Section Process Proc		. 0	Total intangible assets (net of related tax liability, excluding those relating to mortgage servicing	171.040				
of which other intangables of the than goodwill and mortgage servicing rights (net of related to the process of the related tax hability) 10 Deferred tax assets that rely on future profitability excluding those arising from temporary 11 Deferred gains or bases on derivatives under hedge accounting 12 Shordful of eligible provisions to expected losses 13 Securitzation gain on sale 13 Securitzation gain on sale 14 Gains and looses due to changes in own credit risk on fair valued flabilities 15 Net adrined benefit asset 16 Investments in was therefore the sale of the sal	8-	+9		451,068	457,453			
of which other intamphbes other than goodwill and morntague servicing rights (not of related to tability) Deferred as assess that rely on future profitability excluding those arising from temporary 31,900 36,570 differences (not or feelated tas inhibity) 11 Deferred gains or bases on derivatives under hodge accounting 12 Shortful of eligible promision to expected todases 137, 101,937 13 Secutifization gain on sale 14 Gains and bosses the two changes in own credit risk on fair valued liabilities 12,883 13, 101 14 Gains and bosses the two changes in own credit risk on fair valued liabilities 12,883 18, 10, 10, 10, 10, 10, 10, 10, 10, 10, 10		8	of which: goodwill (net of related tax liability, including those equivalent)	70,467	71,859			
Society Soci		0		200 404	205 502			
11 Deferred gains or losses on derivatives under hedge accounting 5.2.837 3.4.121		9		380,601	385,593			
11 Deferred gains or losses on derivatives under hedge accounting 5.2.837 3.4.121		^						
11 Deferred gains or looses on derivatives under hedge accounting 52,387 34,121	1	U		31,990	36,570			
12 Shortfull of slipping provision to expected losses	1	1	**	52.387	34,121			
13 Securitization gain on such and 17 10 10 14 14 14 15 15 15 15 15								
14 Gains and losses due to changes in own crufit risk on fair valued liabilities 12,085 12,927					,			
15 New defined benefit asset 690,358 686,685 16 New interests in own shares (excluding those reported in the net assets section) 3,764 4,660 17 Resignocal cross-holdings in common equity 18 Resignocal cross-holdings in common equity 19 Resignationy consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share againgt famoure above the 10% threshold, of 19 19 19 19 19 19 19 19			ĕ					
In Investments in own shures (excluding those reported in the net assets section) 3.764 4,660			ÿ					
The Reciprocal cross-holdings in formation equitory in the state of					,			
Investments in the capital of bushing, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share capital (amount above the 10% threshold) of the financials of the issued share capital (amount above the 10% threshold) of the issued share capital (amount above the 10% threshold) of the issued share capital (amount above the 10% threshold) of the issued share capital (amount above the 10% threshold on specified times of which; displicant investments in the common stock of financials of which mortgage servicing rights of which mortgage servicing rights of which is displicant investments in the common stock of financials of which is displicant investments in the common stock of financials of which mortgage servicing rights of which is displicant investments in the common stock of financials of which mortgage servicing rights of which mortgage servicing rights of which is displicant investments in the common stock of financials of which mortgage servicing rights of which is displicated that which mortgage servicing rights of which is displayed to Common Equity Tier I capital free allowed to the surflicient Additional Tier I and Tier 2 to cover deductions. 28 Common Equity Tier I capital (CETI) ((A)-(B)) (C) 7.383,281 7,413,983 Additional Tier I capital (CETI) ((A)-(B)) (C) 7.383,281 7,413,983 Additional Tier I capital (CETI) ((A)-(B)) (C) 7.383,281 7,413,983 31 Sobscription rights to Additional Tier I instruments plus related stock surplus of which: and other equivalent entities 32 Directly issued qualifying Additional Tier I instruments plus related stock surplus issued by special purpose vehicles and other equivalent entities 33 Directly issued qualifying Additional Tier I in				3,704	4,000			
18 regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share capital (amount above the 10% threshold)	1	. /		-	-			
of the issued share capital (amount above the 10% threshold) 19-20-21 A mount exceeding the 10% threshold on specified items of Which: significant investments in the common stock of financials of Which: significant investments in the common stock of financials of Which: significant investments in the common stock of financials of which: deferred tax assets arising from temporary differences (net of related tax liability) of which: deferred tax assets arising from temporary differences (net of related tax liability) of which: deferred tax assets arising from temporary differences (net of related tax liability) of which: deferred tax assets arising from temporary differences (net of related tax liability) of which: deferred tax assets arising from temporary differences (net of related tax liability) 7 Regulatory adjustments applied to Common Equity Tier I due to insufficient Additional Tier I and The 2to cover deductions 28 Common Equity Tier I capital (CETI) 29 Common Equity Tier I capital (CETI) 29 Common Equity Tier I capital (CETI) 30 Directly issued qualifying Additional Tier I instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown of the capital instruments of the common Equity of the capital instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown of the capital instruments are common and the capital capital instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown of the capital instruments are capital instruments plus related stock surplus of which: classified as equity and additional Tier I instruments plus related stock surplus of which: capital instruments of the capital of the capital of the capital instruments plus related stock surplus of which: capital instruments instead by subsidiaries and held by third parties (amount allowed in group ATI) 33-35 Additional Tier I instrume	1	Q						
199-20-21 Amount exceeding the 10% threshold on specified items -	1	. 0		-	-			
19 20 20 20 20 20 20 20 2	10.2	0.21	-					
20 Of which: mortgage servicing rights - -				-				
21			· ·	-	-			
22 Amount exceeding the 15% threshold on specified items of which, significant investments in the common stock of financials of which significant investments in the common stock of financials of which of which deferred tax assets arising from temporary differences (net of related tax liability)				-				
23				-	-			
24 25 of which: mortgage servicing rights 25 of which: deferred tax assets arising from temporary differences (net of related tax liability) - -				-	-			
25 Of which: deferred tax assets arising from temporary differences (net of related tax liability)				-	-			
Regulatory adjustments applied to Common Equity Tier I due to insufficient Additional Tier I and Tier 2 to cover deductions Regulatory adjustments (B) Regulatory adjustments (B) Regulatory adjustments (B) Regulatory Tier Lapital: regulatory adjustments (B) Regulatory Tier Lapital: (CET1) Common Equity Tier Lapital: (CET1) Regulatory Tier Lapital (CET1) Regulatory Tier Lapital (CET1) Regulatory adjustments (B) Regulatory Tier Lapital (CET1) Regulatory adjustments (B) Regulatory adjustments applied to Additional Tier 1 to instrument (B) Regulatory adjustments applied to Additional Tier 1 to instrume entities that are outside the scope of regulatory consolidation (net of eligible short positions) Regulatory adjustments applied to Additional Tier 1 to insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) Regulatory consolidation (Regulatory adjustments (B) Regulatory adjustments applied to Additional Tier 1 to insurance entities that are outside the scope of regulatory consolidation (R				-				
27 Tier 2 to cover deductions 1,365,973 1,336,366	2	25		-	-			
Tier 2 to cover deductions 1,365,973 1,336,366	2	27		_	-			
Common Equity Tier I capital (CET1) 29 Common Equity Tier I capital (CET1) ((A)-(B)) (C) 7,383,281 7,413,983 Additional Tier I capital instruments (3) 31a Directly issued qualifying Additional Tier I instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown 31b Subscription rights to Additional Tier I instruments 32 Directly issued qualifying Additional Tier I instruments 33 Directly issued qualifying Additional Tier I instruments 34 Directly issued qualifying Additional Tier I instruments plus related stock surplus of which: classified as liabilities under applicable accounting standards 4 Qualifying Additional Tier I instruments plus related stock surplus issued by special purpose vehicles and other equivalent entities 34-35 Additional Tier I instruments issued by subsidiaries and held by third parties (amount allowed in group ATI) 33 Eligible Tier I capital instruments subject to phase-out arrangements included in Additional Tier I capital instruments 34 Directly issued capital instruments subject to phase out from Additional Tier I capital: instruments 35 Directly issued capital instruments subject to phase out from Additional Tier I 36 Directly issued capital instruments 37 Investments in own Additional Tier I instruments 38 Reciprocal cross-holdings in Additional Tier I instruments 39 Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of banking, financial and insurance entities that are outside the scope of regulatory adjustments applied to Additional Tier I to to insufficient Tier 2 to cover deductions 40 Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory adjustments applied to Additional Tier I to to insufficient Tier 2 to cover deductions 42 Regulatory adjust								
Common Equity Tier 1 capital (CET1) ((A)-(B)) (C) 7,383,281 7,413,983 7,413,983 7,413,983 7,413,983 7,413,983 31a Directly issued qualifying Additional Tier 1 instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown - -				1,365,973	1,336,366			
Additional Tier capital: instruments (3) 31a Directly issued qualifying Additional Tier instruments plus related stock surplus of which:								
31a Directly issued qualifying Additional Tier 1 instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown	2	29	Common Equity Tier 1 capital (CET1) ((A)-(B)) (C)	7,383,281	7,413,983			
31a classified as equity under applicable accounting standards and the breakdown - -	Additio	onal Tier	1 capital: instruments (3)					
Subscription inglists to Additional Tier 1 instruments - -		310						
30 32 Directly issued qualifying Additional Tier 1 instruments plus related stock surplus of which: classified as liabilities under applicable accounting standards Qualifying Additional Tier 1 instruments plus related stock surplus issued by special purpose vehicles and other equivalent entities 34-35 Additional Tier 1 instruments issued by subsidiaries and held by third parties (amount allowed in group AT1) 33+35 Eligible Tier 1 capital instruments subject to phase-out arrangements included in Additional Tier 1 capital: instruments of which: directly issued capital instruments subject to phase out from Additional Tier 1 of which: directly issued depital instruments subject to phase out		31a	classified as equity under applicable accounting standards and the breakdown	-				
32 classified as liabilities under applicable accounting standards 1,805,000 1,570,000		31b	Subscription rights to Additional Tier 1 instruments		-			
Classified as habilities under applicable accounting standards Qualifying Additional Tier 1 instruments plus related stock surplus issued by special purpose vehicles and other equivalent entities 34-35	30	22	Directly issued qualifying Additional Tier 1 instruments plus related stock surplus of which:	1 905 000	1 570 000			
and other equivalent entities 34-35 Additional Tier I instruments issued by subsidiaries and held by third parties (amount allowed in group AT1) 33+35 Eligible Tier I capital instruments subject to phase-out arrangements included in Additional Tier 1 capital: instruments of which: directly issued capital instruments subject to phase out from Additional Tier 1 of which: instruments issued by subsidiaries subject to phase out 36 Additional Tier I capital: instruments (D) Additional Tier I capital: regulatory adjustments Tinvestments in own Additional Tier I instruments 10 11 12 13 14 15 16 17 18 18 18 18 18 18 18 18 18		32	classified as liabilities under applicable accounting standards	1,805,000	1,5/0,000			
Additional Tier 1 instruments issued by subsidiaries and held by third parties (amount allowed in group AT1) 33+35 Eligible Tier 1 capital instruments subject to phase-out arrangements included in Additional Tier 1 - capital: instruments of which: directly issued capital instruments subject to phase out from Additional Tier 1 of which: instruments issued by subsidiaries subject to phase out 36 Additional Tier 1 capital: instruments (D) Additional Tier 1 capital: regulatory adjustments 37 Investments in own Additional Tier 1 instruments 38 Reciprocal cross-holdings in Additional Tier 1 instruments Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 40 Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions - capital (TI = CETI + AT1) 44 Additional Tier 1 capital ((D)-(E)) (F) Tier 1 capital (TI = CETI + AT1)			Qualifying Additional Tier 1 instruments plus related stock surplus issued by special purpose vehicles					
33+35 Eligible Tier 1 capital instruments subject to phase-out arrangements included in Additional Tier 1 capital: instruments capital: instruments capital: instruments capital: instruments capital: instruments capital: c		L	and other equivalent entities			<u> </u>		
Section of the issued common share capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions) Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory adjustments in the capital of banking, financial and insurance entities that are outside the scope of regulatory adjustments in the capital of banking, financial and insurance entities that are outside the scope of segulatory consolidation (net of eligible short positions) Additional Tier 1 capital (T1 = 1 capital) (D) = 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1) T1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1)	2.4	-35	Additional Tier 1 instruments issued by subsidiaries and held by third parties (amount allowed in	20.202	20.251			
capital: instruments of which: directly issued capital instruments subject to phase out from Additional Tier 1 of which: instruments issued by subsidiaries subject to phase out Additional Tier I capital: instruments (D) Additional Tier I capital: regulatory adjustments To linvestments in own Additional Tier I instruments Reciprocal cross-holdings in Additional Tier I instruments Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above 10% threshold) Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 40 Regulatory adjustments applied to Additional Tier I due to insufficient Tier 2 to cover deductions 42 Regulatory adjustments applied to Additional Tier I due to insufficient Tier 2 to cover deductions 43 Additional Tier I capital (TI) 44 Additional Tier I capital ((D)-(E)) (F) 1,778,498 1,542,751 Tier I capital (TI = CETI + ATI)	34-33		group AT1)	30,398	29,251			
capital: instruments of which: directly issued capital instruments subject to phase out from Additional Tier 1 of which: directly issued by subsidiaries subject to phase out 35	22	135	Eligible Tier 1 capital instruments subject to phase-out arrangements included in Additional Tier 1					
35	33-	⊤ <i>33</i>		-	-			
35	33		of which: directly issued capital instruments subject to phase out from Additional Tier 1	-	-			
36 Additional Tier 1 capital: instruments (D) 1,835,398 1,599,251 Additional Tier 1 capital: regulatory adjustments 400	3	35	•	-	-			
Additional Tier 1 capital: regulatory adjustments 37 Investments in own Additional Tier 1 instruments 400 38 Reciprocal cross-holdings in Additional Tier 1 instruments Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above 10% threshold) 40 Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions 43 Additional Tier 1 capital: regulatory adjustments (E) 56,500 Additional Tier 1 capital (AT1) 44 Additional Tier 1 capital ((D)-(E)) (F) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1)				1,835,398	1,599,251			
37 Investments in own Additional Tier 1 instruments 38 Reciprocal cross-holdings in Additional Tier 1 instruments	Additio	onal Tier	* 1.1					
38 Reciprocal cross-holdings in Additional Tier 1 instruments Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above 10% threshold) 40 Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions 43 Additional Tier 1 capital: regulatory adjustments (E) 56,500 Additional Tier 1 capital (AT1) 44 Additional Tier 1 capital ((D)-(E)) (F) Tier 1 capital (T1 = CET1 + AT1)			1 0 1	400	-			
Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above 10% threshold) 40 Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions 43 Additional Tier 1 capital (arti) 44 Additional Tier 1 capital ((D)-(E)) (F) 56,500 Additional Tier 1 capital (T1 = CET1 + AT1)	3	88		-	-			
regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above 10% threshold) 40 Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions 43 Additional Tier 1 capital: regulatory adjustments (E) 56,500 Additional Tier 1 capital (AT1) 44 Additional Tier 1 capital ((D)-(E)) (F) Tier 1 capital (T1 = CET1 + AT1)								
of the issued common share capital of the entity (amount above 10% threshold) 40 Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions 43 Additional Tier 1 capital: regulatory adjustments (E) 56,500 Additional Tier 1 capital (AT1) 44 Additional Tier 1 capital ((D)-(E)) (F) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1)	3	39		-	-			
Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions 43 Additional Tier 1 capital: regulatory adjustments (E) 56,500 Additional Tier 1 capital (AT1) 44 Additional Tier 1 capital ((D)-(E)) (F) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1)	1							
scope of regulatory consolidation (net of eligible short positions) 42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions 43 Additional Tier 1 capital: regulatory adjustments (E) 56,900 56,500 Additional Tier 1 capital (AT1) 44 Additional Tier 1 capital ((D)-(E)) (F) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1)								
42 Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions - - 43 Additional Tier 1 capital: regulatory adjustments (E) 56,900 56,500 Additional Tier 1 capital (AT1) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1) 1,778,498 1,542,751	4	10		56,500	56,500			
43 Additional Tier 1 capital: regulatory adjustments (E) 56,900 56,500 Additional Tier 1 capital (AT1) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1) 1,778,498 1,542,751	1	12.				1		
Additional Tier 1 capital (AT1) 1,778,498 1,542,751 44 Additional Tier 1 capital ((D)-(E)) (F) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1) 1,778,498 1,778,498				54,000	56 500	-		
44 Additional Tier 1 capital ((D)-(E)) (F) 1,778,498 1,542,751 Tier 1 capital (T1 = CET1 + AT1)				50,900	50,500			
Tier 1 capital (T1 = CET1 + AT1)				1 770 400	1 540 751			
				1,//8,498	1,542,/51			
43 Her I capital (II = CE11 + A11) ((C)+(F)) (G) 9,161,7/9 8,956,734				0.121.550	0.054.531			
	4	IJ	Her I capital (11 = CET1 + AT1) ((C)+(F)) (G)	9,161,779	8,956,734	l .		

CC1.Composit	ion of Canital Dicalogues		(in million yen, exc	ept percentage
C1:Composit	ion of Capital Disclosure	a	b	С
Basel III Femplate No.	Items	As of September 30, 2019	As of June 30, 2019	Reference to Template CC2
Γier 2 capital: i	nstruments and provisions (4)			
	Directly issued qualifying Tier 2 instruments plus related stock surplus of which: classified as equity under applicable accounting standards and the breakdown	-	-	
	Subscription rights to Tier 2 instruments	-	_	
46	Directly issued qualifying Tier 2 instruments plus related stock surplus of which: classified as	1,066,642	1,089,812	
	liabilities under applicable accounting standards	1,000,042	1,069,612	
	Tier 2 instruments plus related stock surplus issued by special purpose vehicles and other equivalent entities	145,364	153,220	
48-49	Tier 2 instruments issued by subsidiaries and held by third parties (amount allowed in group Tier 2)	8,319	7,991	
47+49	Eligible Tier 2 capital instruments subject to phase-out arrangements included in Tier 2:	484,014	506,118	
	instruments and provisions	·		
47 49	of which: directly issued capital instruments subject to phase out from Tier 2 of which: instruments issued by subsidiaries subject to phase out	90,636 393,377	98,522 407,595	
50	Total of general allowance for loan losses and eligible provisions included in Tier 2	3,818	3,755	
50a	of which: general allowance for loan losses	3,818	3,755	
50b	of which: eligible provisions	-	-	
51	Tier 2 capital: instruments and provisions (H)	1,708,160	1,760,898	
	egulatory adjustments (5)			
52 53	Investments in own Tier 2 instruments	3,618	2,301	
33	Reciprocal cross-holdings in Tier 2 instruments and other TLAC liabilities Investments in the capital and other TLAC liabilities of banking, financial and insurance entities that	-	-	
54	are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above the 10% threshold)	-	-	
54a	Investments in the other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation, where the bank does not own more than 10% of the issued common share capital of the entity: amount previously designated for the 5% threshold but that no longer meets the conditions	1,502	858	
55	Significant investments in the capital and other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)	-	-	
57	Tier 2 capital: regulatory adjustments (I)	5,120	3,159	
Fier 2 capital (Tier 2 capital (T2) ((H)-(I)) (J)	1,703,039	1,757,739	
Fotal capital (7		1,703,039	1,737,739	
59	Total capital ($TC = T1 + T2$) ((G) + (J)) (K)	10,864,818	10,714,473	
Risk weighted				
60	Risk weighted assets (L)	60,599,128	59,928,686	
Capital ratio ar 61	d buffers (consolidated) (7)	12 100/	12.270/	
62	Common Equity Tier 1 capital ratio (consolidated) ((C)/(L)) Tier 1 capital ratio (consolidated) ((G)/(L))	12.18% 15.11%	12.37% 14.94%	
63	Total capital ratio (consolidated) ((K)/(L))	17.92%	17.87%	
64	Total of bank CET1 specific buffer requirements	3.55%	3.55%	
65	of which: capital conservation buffer requirement	2.50%	2.50%	
66	of which: countercyclical buffer requirement	0.05%	0.05%	
67	of which: bank G-SIB/D-SIB additional requirements	1.00%	1.00%	
68	CET1 available after meeting the bank's minimum capital requirements	7.68%	7.87%	
Regulatory adj	Non-significant investments in the capital and other TLAC liabilities of other financials that are			
72	below the thresholds for deduction (before risk weighting)	516,767	516,684	
73	Significant investments in the common stock of financials that are below the thresholds for deduction (before risk weighting)	259,505	255,230	
74	Mortgage servicing rights that are below the thresholds for deduction (before risk weighting)	-		
75	Deferred tax assets arising from temporary differences that are below the thresholds for deduction (before risk weighting)	236,371	251,409	
	ided in Tier 2 capital: instruments and provisions (9)			
76	Provisions (general allowance for loan losses)	3,818	3,755	
77	Cap on inclusion of provisions (general allowance for loan losses) Provisions eligible for inclusion in Tier 2 in respect of exposures subject to internal ratings-based	43,780	42,512	
78	approach (prior to application of cap) (if the amount is negative, report as "nil")	<u> </u>	<u> </u>	<u> </u>
79	Cap for inclusion of provisions in Tier 2 under internal ratings-based approach	294,872	289,207	
	ents subject to phase-out arrangements (10)			
82	Current cap on AT1 instruments subject to phase-out arrangements	624,941	624,941	
83	Amount excluded from AT1 due to cap (excess over cap after redemptions and maturities) (if the amount is negative, report as "nil")	-	-	
84	Current cap on T2 instruments subject to phase-out arrangements	506,118	506,118	
04	A			
85	Amount excluded from T2 due to cap (excess over cap after redemptions and maturities) (if the amount is negative, report as "nil")	-	417	