

## Mizuho Securities Co., Ltd. (MHSC)

### 1. Changes of Directors and Executive Officers

(Effective as of April 1, 2022)

Name		New Position		Current Position	
Keiji Maruko	Deputy President & Executive Officer	Head of Business Promotion	Managing Executive Officer	Head of Resource & Material Industry Group / Head of Global Sector Coverage	
		Head of Global Investment Banking Division / Head of Investment Banking Business		Head of Global Investment Banking Division / Head of Investment Banking Business	
Naoki Takahashi	Managing Executive Officer	Division / Head of Global Investment Banking /	Managing Executive Officer	Division / Head of Global Investment Banking / Head of ICT & Retail Industry Group / Head of Global Sector Coverage	
		Co-Head of ICT & Retail Industry Group / Head of Global Sector Coverage		Head of Global Sector Coverage	
Eiichi Yamazaki	Managing Executive Officer	Head of Social Infrastructure Industry Group	Operating Officer	Head of Social Infrastructure Industry Group	
Takuhiko Tochiori	Managing Executive Officer	Head of Sogo Shosha, Realty & Financial Sponsor Industry Group	Managing Executive Officer	Head of Sogo Shosha, Realty & Financial Sponsor Industry Group / Co-Head of ICT & Retail Industry Group	
Akihiro Yamade	Managing Executive Officer	In Charge of Global Investment Banking	Operating Officer	In Charge of Global Investment Banking	
Kimihiro Sakano	Managing Executive Officer	In Charge of Retail & Business Banking	Operating Officer	Head of Area	
Kiyoshi Miyake	Resigned		Member of the Board of Directors, Chairman*	In Charge of Specially Assigned Matters	
Yasuto Hamanishi	Resigned		Deputy President & Executive Officer	Head of Business Promotion	
Hitoshi Imai	Resigned		Managing Executive Officer	In Charge of Retail & Business Banking	

(Note) Asterisks indicate directors expected to concurrently serve as executive officers.

(Effective as of May 1, 2022)

Name	New Position	Current Position
Natsumi Akita	Managing Executive Officer	Chief People Officer —

(Note) Ms. Natsumi Akita is currently Marketing Vice President of Adobe Co., Ltd.

## 2. Changes of Operating Officers

(Effective as of April 1, 2022)

Name		New Position		Current Position
Naoki Fujita	Operating Officer	Joint Head of Global Investment Banking Division / Joint Head of Investment Banking Business Division / Joint Head of Global Investment Banking	Operating Officer	Joint Head of Global Investment Banking Division / Joint Head of Investment Banking Business Division / Joint Head of Global Investment Banking / Head of Products Business Division
Hiroshi Saito	Operating Officer	Head of ICT & Retail Industry Group / Head of Global Sector Coverage / Head of Investment Banking Business Division Media & Telecom Investment Banking	Operating Officer	Co-Head of ICT & Retail Industry Group / Head of Media & Telecom Investment Banking
Taro Hasuo	Operating Officer	Head of Resource & Material Industry Group / Head of Global Sector Coverage	Operating Officer	Head of Energy & Healthcare & Basic Materials Investment Banking
Masayuki Nomoto	Operating Officer	Head of Products Business Division / Head of Global Product	Operating Officer	Director for Products Business Division
Yoshihisa Hirata	Operating Officer	Head of Syndication Business Division / In Charge of Investment Department / Head of Global Syndication	Operating Officer	Head of Syndication Business Division / Head of Global Syndication
Eijiro Kawauchi	Operating Officer	In Charge of Retail & Business Banking	Operating Officer	Head of Investment Banking Business Division Automotive & Technology Investment Banking
Akihisa Hayashi	Operating Officer	Head of Area	Operating Officer	General Manager of Shibuya Branch
Ken Utsunomiya	Operating Officer	Joint Head of Operations Group / Senior Executive of Global Markets Division / Senior Executive of IT & Systems Group / Joint Head of Global Operations	Operating Officer	Senior Executive of Operations Group / Senior Executive of Global Markets Division / Senior Executive of IT & Systems Group / Joint Head of Global Operations

Name		New Position		Current Position
Osamu Terai	Operating Officer	Joint Head of IT & Systems Group	—	Operating Officer of MHFG
Norifumi Akiyama	Reassigned	Attached to Global Investment Banking Division	Operating Officer	Joint Head of Global Investment Banking Division / In Charge of Investment Department
Shinsuke Kawamoto	Reassigned	Attached to Retail & Business Banking Division	Operating Officer	In Charge of Retail & Business Banking

### 3. Directors and Executive Officers as of April 1, 2022

#### Member of the Board of Directors

President & CEO* (Representative Director)	Yoshiro Hamamoto	
Deputy President* (Representative Director)	Yuzo Kanamori	Head of Risk Management Group / Head of Compliance Group / In Charge of Due Diligence Department / Head of Global Risk Management / Head of Global Compliance / Internal Administration Supervisor
	Atsushi Takahashi	Head of IT & Systems Group / Head of Operations Group / Head of Global IT / Head of Global Operations
Member of the Board of Directors (not full-time)	Masahiro Kihara	( President & Group CEO (Representative Executive Officer) of MHFG )
Member of the Board of Directors (Audit & Supervisory Committee Member)	Yasuto Sengoku	
Member of the Board of Directors (Audit & Supervisory Committee Member) (not full-time)	Hisashi Kikuchi	( Senior Executive Officer of MHFG )
Members of the Board of Directors (Outside Director) (Audit & Supervisory Committee Member) (not full-time)	Yasuhisa Hashimoto	
	Hiroyuki Suzuki	
	Seiji Koga	

(Note) Asterisks indicate directors expected to concurrently serve as executive officers.

#### Executive Officers\*

Deputy President & Executive Officer	Keiji Maruko	Head of Business Promotion
Managing Executive Officer	Naoki Takahashi	Head of Global Investment Banking Division / Head of Investment Banking Business Division / Head of Global Investment Banking / Co-Head of ICT & Retail Industry Group / Head of Global Sector Coverage
	Eiichi Yamazaki	Head of Social Infrastructure Industry Group

Managing Executive Officer	Takuhiko Tochiori	Head of Sogo Shosha, Realty & Financial Sponsor Industry Group
	Akihiro Yamade	In Charge of Global Investment Banking
	Daishi Sasaki	Head of Global Markets Division / Head of Global Markets / Head of Research & Consulting Unit
	Norikazu Akedo	Joint Head of Global Markets Division / Head of Equity Business Division / Head of Global Equity / Head of Global Product
	Hirobumi Nakayama	Head of Retail & Business Banking Division / Head of Fund Business Division
	Kimihiro Sakano	In Charge of Retail & Business Banking
	Itaru Shirakawa	Head of Strategic Planning Group
	Jerry Rizzieri	Attached to Strategic Planning Group (In charge of Strategic Planning for the Americas) / President & CEO of Mizuho Securities USA LLC
	Suneel Bakhshi	Attached to Strategic Planning Group (In charge of Strategic Planning for EMEA) / President & CEO of Mizuho International plc
	Satoshi Asai	Head of Human Resources Group / Head of Global Human Resources
	Natsumi Akita	Chief People Officer

(Note1) \*: Excluding executive officers concurrently serving as a directors

(Note2) Ms. Natsumi Akita is scheduled to be inaugurated as Group Executive Officer on May 1, 2022.