## Mizuho Securities India Private Limited

## Investors Complaints Data – Substantial Acquisitions of Shares and Takeovers

#### Data for the month May 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total pending during the particular month #	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from	0	0	Not	0	Not	Not
	Investors			Applicable		Applicable	Applicable
2	SEBI	0	0	Not	0	Not	Not
	(SCORES)			Applicable		Applicable	Applicable
3	Stock	0	0	Not	0	Not	Not
	Exchanges (if			Applicable		Applicable	Applicable
	relevant)						
4	Other	0	0	Not	0	Not	Not
	Sources (if			Applicable		Applicable	Applicable
	any)						
5	<b>Grand Total</b>	0	0	Not	0	Not	Not
				Applicable		Applicable	Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending as at the end of the particular month #
1	January 2024	0	0	Not Applicable	0
2	February 2024	0	0	Not Applicable	0
3	March 2024	0	0	Not Applicable	0
4	April 2024	0	0	Not Applicable	0
5	May 2024	0	0	Not Applicable	0
	<b>Grand Total</b>	0	0	Not Applicable	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

SN	Year	Carried forward from previous	Received during the particular	Resolved during the particular	Pending as at the end of the particular	
		year	year	year	year	
1	2021	0	0	Not Applicable	0	
2	2022	0	0	Not Applicable	0	
3	2023	0	0	Not Applicable	0	
4	2024	To be updated in due course				
5	2025	To be updated in due course				
	Grand	0	0	Not Applicable	0	
	Total					